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PATENT  
B588-717 (25815.734)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Satoru Wakao  
Serial No. : 10/815,504  
For : IMAGE VERIFICATION APPARATUS AND IMAGE VERIFICATION METHOD  
Filed : March 31, 2004  
Examiner : Seyed H. Azarian  
Art Unit : 2624

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR REFUND OF OVERPAYMENT OF ISSUE FEE**

On October 16, 2009, applicant filed a Part B-Fee(s) Transmittal (PTOL-85) (copy enclosed) in the above -identified application. The Transmittal indicated an ISSUE FEE DUE of \$1510 and also indicated a PREV. PAID ISSUE FEE of \$1440. Item 4b of the Transmittal further indicated "Payment of fees (Please first reapply any previously paid issue fee shown above)".

Since the previously paid fee of \$1440 was thus requested to be first reapplied to the fee due of \$1510, the amount to be paid with the Transmittal should have been seventy dollars (\$70.00). However, applicant inadvertently also then paid, as indicated on the Transmittal "Payment in the amount of \$1819.00 is hereby made by Electronic Funds Transfer (EFT)", an additional fee amount of \$1819.00. As can be appreciated, since there was a \$70.00 fee

25815/734/1146130.1

I hereby certify that this correspondence is being transmitted via the Patent Electronic Filing System (EFS) to the United States Patent and Trademark Office on:

December 16, 2009

December 16, 2009  
Date of Signature

JOHN J. TORRENTE

Signature

PATENT  
B588-717 (25815.734)

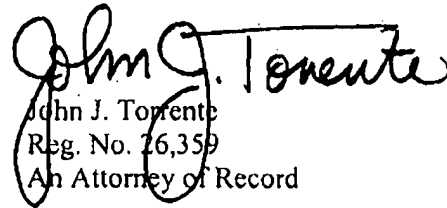
owing due to the reapplying of the previously paid fee, the amount of \$1819.00 paid by Electronic Funds Transfer was an overpayment of the issue fee and it is respectfully requested that the overpayment be refunded to applicant.

Accordingly, applicant respectfully request that the overpayment of \$1,740.00 be credited to applicant's undersigned attorney's Deposit Account No. 03-3415.

Dated: December 16, 2009

Respectfully submitted,

COWAN, LIEBOWITZ & LATMAN  
1133 Avenue of the Americas  
New York, New York 10036  
Tel. (212) 790-9200

  
John J. Torrente  
Reg. No. 26,359  
An Attorney of Record

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All future correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless directed below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee payments.

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Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

26272

7590

09/03/2009

COWAN LIEBOWITZ &amp; LATMAN P.C.

JOHN J. TORRENTE

1133 AVE OF THE AMERICAS

NEW YORK, NY 10036

10/16/2009 INTER-SB 00008336 10815504

01 FC:1501

1510.00 OP

02 FC:1504

300.00 OP

03 FC:8001

2.00 OP

## Certificate of Mailing or Transmission

I hereby certify that this correspondence is being transmitted via the Patent Electronic Filing System (EFS) to the United States Patent and Trademark Office on the date indicated below:

John J. Torrente (Depositor's name)  
 John J. Torrente (Signature)  
 October 16, 2009 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/815,504	03/31/2004	Satoru Wakao	B588-717 (25815.734)	8217

TITLE OF INVENTION: IMAGE VERIFICATION APPARATUS AND IMAGE VERIFICATION METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$1440	\$1510	12/03/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
AZARIAN, SEYED H	2624	382-100000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Cowan, Liebowitz &amp; Latman, P.C.

2

3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 1.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Canon Kabushiki Kaisha

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Tokyo, Japan

Recorded: August 16, 2004

Reel: 015679

Frame: 0254

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

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- ☒ Payment in the amount of \$1,819.00 is hereby made by Electronic Funds Transfer (EFT).  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 03-3415 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

John J. Torrente

Date

October 16, 2009

Typed or printed name

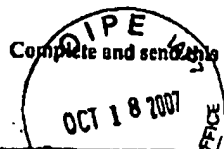
John J. Torrente

Registration No.

28,359

This collection of information is required by 37 CFR 1.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**CURRENT CORRESPONDENCE ADDRESS** (Note: Use Block 1 for any change of address)

37123 7590 10/01/2007

**MORGAN & FINNEGAN, L.L.P.**  
**3 WORLD FINANCIAL CENTER**  
**NEW YORK, NY 10281-2101**

10/17/2007 INTEFSW 00005398 10815504

01-FC:1501 1440.00 DA  
02-FC:1504 300.00 DA  
03-FC:8001 9.00 DA

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**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope, addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/115,504	03/31/2004	Satoru Wakao	1232-5362	8217

**TITLE OF INVENTION:** IMAGE VERIFICATION APPARATUS AND IMAGE VERIFICATION METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	01/02/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
AZARIAN, SBYED H	2624	382-100000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
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☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list **Morgan & Finnegan LLP**  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If no name is listed, no name will be printed.

**3. ASSIGNEE-NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT** (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Canon Kabushiki Kaisha

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Tokyo Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

**4a. The following fee(s) are submitted:**

- ☒ Issue Fee  
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- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ##1232-5362 (enclose an extra copy of this form).

**5. Change in Entity Status** (from status indicated above)

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NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Steven F. Meyer

Date

October 17, 2007

Typed or printed name

Steven F. Meyer

Registration No.

35,613

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